

Legislative Appropriations Request

For Fiscal Years 2020 and 2021

**Submitted to the
Office of the Governor, Budget Division
and the Legislative Budget Board**

by

Texas State Board of Dental Examiners

August 8, 2018

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Administrator's Statement

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The Texas State Board of Dental Examiners (TSBDE) is governed by the Subtitle D, Title 3, Texas Occupations Code, (Dental Practice Act, Chapters 251 et seq.). Currently, the board regulates the licensure, registration, and certification of dentists (17,178 active; 807 expired), dental hygienists (13,448 active; 606 expired), dental assistants (37,500 active; 8377 expired), optional certificates (NDA 13,953), mobile facilities (47 active; 14 expired), and dental laboratories (780 active; 82 expired). The total licensee population is just under 93,000.

The TSBDE consists of fifteen members appointed by the governor to serve staggered six-year terms, with six dentists, three dental hygienists, and two public members. The current board members are listed below:

BOARD MEMBERS – TERM EXPIRES – HOMETOWN

M. David Tillman, DDS – February 1, 2021 – Aledo – Presiding Officer
Jorge E. Quirch, DDS – February 1, 2023 – Missouri City – Board Secretary
Lois M. Palermo, RDH – February 1, 2019 – League City
Kimberly Haynes, DMD – February 1, 2019 – College Station
David H. Yu, DDS – February 1, 2019 – Austin
Robert G. McNeill, DDS, MD – February 1, 2021 - Dallas
Margo Y. Melchor, M.Ed., Ed.D. – February 1, 2021 - Houston
Rodney Bustamante – February 1, 2021 – Austin
Kathryn Sisk – February 1, 2021 – Spring Branch
Bryan N. Henderson, II, DDS – February 1, 2023 – Dallas
Lorie Jones, RDH – February 1, 2023 – Magnolia

The Texas State Board of Dental Examiners is continuously focused on its mission to protect the public health and safety and promote high quality and safe dental care by providing enforcement, licensing, peer assistance, and related information services to licensees and their patients. In carrying out our mission, the board acts with the highest standard of ethics, accountability, efficiency, transparency, and responsiveness. The board continually preserves the public trust by regulating the practice of dentistry with a sense of purpose and responsibility and in a fair and impartial manner. The board is supported in its mission by a staff of 59 full-time positions.

The main functions of the board are to license qualified, educated, and trained dentists and dental hygienists after successful completion of a clinical examination or by credentials; certify and register dental assistants after successful completion of required education and subsequent competency examination; register qualified dental laboratories; register mobile/portable dental units; annually renew dental and dental hygiene licenses and dental assistant and dental laboratory registrations; investigate all complaints received; prosecute complaints through informal or formal disciplinary actions as provided by applicable statutes; and monitor ongoing compliance of disciplined licensees with their respective board orders. In the performance of its duties, the board generates almost \$9 million of revenue, which is deposited into the general revenue fund, while working within an operating budget of just over \$4 million.

Following dental anesthesia related tragedies in this state, Texas lawmakers and the Sunset Advisory Commission reviewed the board's regulations regarding the safe practice of dental anesthesia. Enacted in the 85th legislative session, Senate Bill 313 requires strengthening of anesthesia regulation through the use of clear enforcement tools, improved training and education requirements for permit holders, and broader avenues for stakeholder input.

The TSBDE is committed to protecting and promoting the welfare of the people of Texas by ensuring that each person who holds a dental professional license in the State

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of Texas is competent to practice safely. The board strives to fulfill the board's mission by providing exceptional service to the people of Texas in the most fiscally responsible manner possible. The board respectfully submits three exceptional items for your consideration. Two of the three items address the need for additional FTEs.

Exceptional Item Requests (in priority order):

1.) Base Budget funding restoration for anesthesia inspection program implementation:

One of the major mandates set forth in SB 313 was the creation and operation of a risk-based inspection process for dentists administering parenteral anesthesia in the office setting. Since the board is charged with developing a process that includes pre-permit, random, and compliance inspections of all anesthesia permit holders, the legislature appropriated \$371,835 for fiscal year 2018 and \$222,943 for fiscal year 2019 to implement and maintain the process. However, due to changes in the board's composition, the resignation of the agency's executive director, and the transfer of two general counsels to other agencies, the anesthesia inspection program was not implemented until September 2018 and the board did not collect the appropriated fees for fiscal year 2018. Consequently, the board was unable to collect the \$371,835 earmarked for the first year of the inspection program's implementation. The \$371,835 inspection program funds are still needed to implement the inspection program. To compound the shortfall, because the \$371,835 was not used it is not currently considered part of the board's 2018-2019 base budget. As a result, the agency's 2020-2021 base budget will be calculated based on the fiscal year 2019 appropriation of \$222,943 instead of the original \$594,778. Consequently, the inspection program will only be funded for \$222,943 for only one year during the biennium.

If base budget funds are not restored, the board will be unable to comply with SB 313's mandate to inspect all 3500 anesthesia permit holders by 2022 and to inspect every new anesthesia permit holder within the first year of practice. The shortfall will impact the salaries for inspectors, travel funds, and program administration costs. The shortfall will require transferring resources allocated to the agency's current investigation case load, thus extending the time required to close a case.

The dental board respectfully requests that the \$371,835 earmarked for implementation be added to the 2018-2019 base budget when calculating the 2020-2021 base budget.

2.) Merit Salary Increases:

The TSBDE is committed to excellence and professionalism in responding to the needs of the public and the regulated profession that we serve. While the board's goal is to hire and retain qualified staff, the agency has had constant turnover and continues to lose employees to other agencies for higher salaries. For instance, from January 2018, the board lost two general counsels to other agencies for increased pay and reduced responsibilities. As a small agency, each position is required to tackle a variety of assignments. Consequently, each employee is responsible for managing jobs that are spread among multiple employees at other agencies and is performing the additional duties at a lower rate of pay than their counterparts at those agencies.

The TSBDE respectfully requests consideration for an annual salary increase for all eligible board employees. In the few months I have served as the new executive director of the agency, several members of my staff have pointed out the need for a salary increase and noted that postings for similar positions in other state agencies list a higher salary. For example, the Health and Human Services Commission has a position posted for a compliance officer position with a starting salary of \$48,277 while the board's compliance officer only makes \$40,975 for substantially the same work load. Another example is attorney salaries. Depending on experience, beginning attorney salaries for the agency are \$60,000 compared to similar agency positions with other agencies that offer a starting salary of \$65,000. Merit increases will be a welcome relief for the board's employees and will encourage them to work even harder in the fulfillment of the board's agency wide goals. The board employees are invaluable and training replacement employees is expensive.

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If the exceptional item is not funded, the agency will be faced with continuing the revolving door of hiring and training new employees only to see them transfer to other agencies because the agency cannot match the salaries offered by those agencies. Since the majority of agency employees have been with the agency less than three years, we have been faced with spending time training new employees instead of focusing on meeting performance measures.

For the agency to be a good steward of scarce state resources, I respectfully request your consideration of a three percent salary increase for all eligible TSBDE employees in an effort to reduce employee turnover and minimize agency disruption. The three percent merit increase will annually cost approximately \$72,119.

3.) Half-time Dentist:

Since January 1, 2014, the dental practice division of TSBDE has been anchored by our dental review panel (DRP).

HB 3201 83rd RS required the establishment of an expert review panel of Texas dentists to evaluate the agency's standard of care cases. Approximately 150 dentists and hygienists from across Texas review the active patient care cases to determine if the standard of care was met by the respondent. The statute requires that all standard of care cases be reviewed by at least two DRP members and that one report be issued on the findings of the review (Section 255.0065-255.0067, Texas Occupations Code). Since the implementation of the DRP, the agency has experienced a marked improvement in the quality and timeliness of the review of standard of care cases. The development and management of this program was the charge of the dental director who was hired as part of HB 3201 83rd RS. The management of that aspect of the program is the singular task for which money was appropriated for the dental director position. However, the dental director has assumed supervision of eight additional employees in the investigations division and is currently spending a great deal of her time evaluating cases, which are in the preliminary investigation phase, and assigning cases that will proceed to an official investigation. These additional duties also require dental expertise. With the additional duties are willingly accepted, our dental director has been unable to keep pace with the final review and processing of all cases that is required. As a result of having only one individual with dental expertise, the agency has experienced a bottleneck of cases on the path to resolution. The gains in efficiencies instituted in other phases of the investigation are currently being mitigated by the dental director being spread very thin and results in a negative impact on the agency's days to case resolution.

The board respectfully requests the addition of a half-time dentist to eliminate the bottle neck of cases, reduce the days to case resolution, and meet the timeline for case resolution established by the legislature. In addition, the additional staff member will aid in the agency's compliance with the new 180-day investigation limitation established by SB 331 85th RS. The half-time dentist's primary responsibility will be moving cases to resolution. The cost of the position will be \$65,000.

4.) HEALTH PROFESSIONS COUNCIL

The TSBDE is a member of the Health Professions Council (HPC) and, through an interagency contract with HPC, transfers funds from appropriations made to the TSBDE to HPC to cover a prorated share of HPC's operating budget. The TSBDE supports the HPC request for additional funds assuming additional appropriations are made to the board for any increase in funds to be transferred.

Supplemental Reduction Schedule

The Texas Board of Dental Examiners is a small agency with a very tight budget. One of the highlights of the past two years is the agency's success in identifying areas to cut expenditures and eliminate waste. The board has placed an emphasis on maximizing the use of agency resources while maintaining its commitment to protecting the people of Texas. Any cuts to the baseline budget request would negatively impact the board's ability to maintain that commitment. The requested 10 percent supplemental reduction schedule would have a sweepingly negative effect on the agency's ability to sustain the current level of service to its licensees and the public by reducing the agency's ability to meet customer demand, current productivity level, and ability to meet legislatively mandated performance measure.

First 2.5% reduction and impact

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- Administration – \$18,000
 - Legal – \$12,720
 - Investigations division – 2 FTEs - \$168,000 – Investigator IVs
 - o The board has been legislatively mandated to implement an Anesthesia Inspection Program that will more than double the workload of the agency's investigations division. With approximately 3500 current anesthesia permit holders set for inspection by 2022 along with inspection of all new anesthesia permit holders in the first year and the case work required for permit holders who do not pass inspection added to the current inspector case load, the loss of any FTEs, much less two FTEs will cause a critical slowdown in the work performed by investigations divisions that will create a backlog of cases, reduce the number of inspections the agency performs, increase the number of days to case resolution, and dramatically increase the workload of the remaining investigators.
- Total: \$198,720
2 FTEs cut

Second 2.5% reduction and impact

- The board's administrative budget is already lean. Any additional cuts would limit our ability to send notices to our licensees, provide official licenses and certificates, and conduct the daily activities of the board. Therefore, any additional cuts to the agency's base budget would result in a reduction of staff.
 - Investigations Division – 1 FTE - \$106,000 – Investigator V
 - o The elimination from the investigations division of one additional FTE, which would equal three FTEs in the reduction schedule, will have a devastating impact on the quality and quantity of inspections mandated by the legislature and the number of cases processed. The additional loss of FTEs will dramatically increase the remaining investigators' workloads, multiply the backlog of case work, and reduce the number of inspections the staff are able to perform.
 - Licensing Division – 1 FTE - \$94,742 – Program Specialist
 - o The loss of one program specialist will significantly increase the processing time for new license and permit applications. By removing one employee, the extra work will be distributed to the other program specialists thereby increasing the processing turnaround time and causing each applicant to wait longer for issuance of a license or permit.
- Total: \$200,742
2 FTEs cut

Third 2.5% reduction and impact

- Administration – \$32,052
 - o This reduction will cut into the heart of our budget and will reduce postage by \$10,300, office supplies by \$10,000, and travel expenses for our Anesthesia Inspection Program.
 - Investigations division – 2 FTEs - \$168,000 – Investigator IVs
 - o As stated above, the board has been legislatively mandated to implement an Anesthesia Inspection Program that will more than double the workload of our investigations division. This reduction would bring the total loss of investigation staff to five FTEs. With this level of reduction, the board will be unable to meet its mandate of inspecting approximately 3500 current anesthesia permit holders by 2022 and all new anesthesia permit holders within the first year.
- Total: \$202,052.00
2 FTEs cut

Final 2.5% reduction and impact

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- Investigations division – 1 FTE - \$84,000 – Investigator IV
 - o Because the board has been legislatively mandated to implement an Anesthesia Inspection Program, a further reduction in staff will more than double the workload for each member of our investigations division. This reduction would bring the total loss of investigation staff to six FTEs. With this level of reduction, the board will be unable to meet its mandate of inspecting approximately 3500 current anesthesia permit holders by 2022 and all new anesthesia permit holders within the first year.
- Administration Division – 1 FTE - \$72,000 – Administrative Assistant
 - o The administrative assistant is an invaluable part of our administrative team and fills a variety of functions, including serving as a receptionist, processing paperwork, creating subpoenas, and providing clerical support for the division. Since the administrative division has only one administrative assistant, those duties would be divided among the limited number of administrative assistants in the other divisions and increase their already taxing workload.
- Financial Division – 1 FTE - \$39,600 – Staff Services Officer II – in the second year of the budget
 - o The Staff Services Officers (SSO) are responsible for all of the agency's financial, purchasing, and HR functions. Each area has unique responsibilities and does not translate to the other positions. If we eliminate one position, the remaining SSOs will be given responsibilities outside their area of expertise. Additional training will be needed, and the training costs will have a negative impact on the budget.

Total: \$195,600

2.5 FTEs cut

Total impact with full 10% reduction

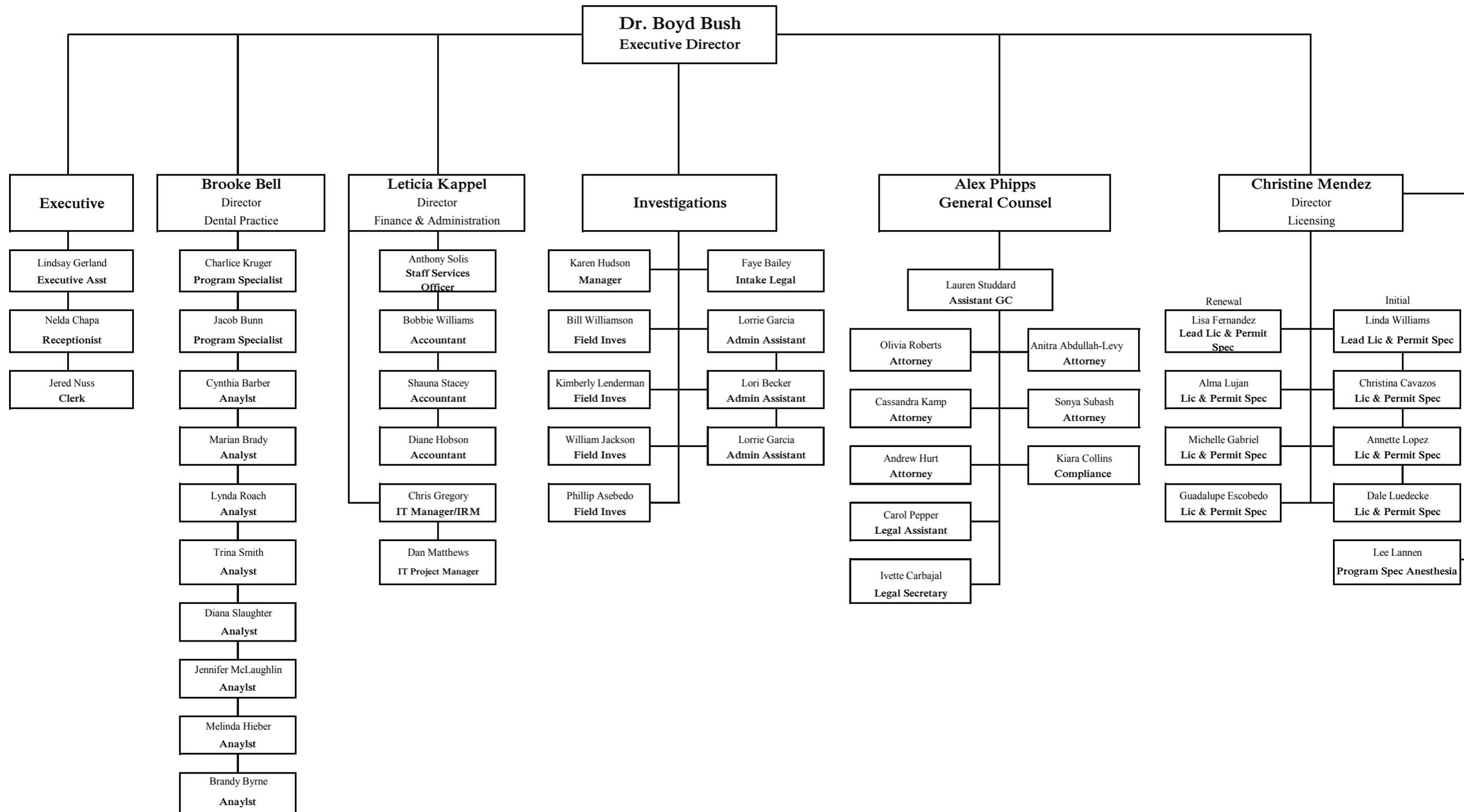
\$795,114.00

7.5 FTE positions



Texas State Board of Dental Examiners Organizational Chart

Fiscal Year 2018



Budget Overview - Biennial Amounts
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Appropriation Years: 2020-21

	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS	
	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2020-21	
Goal: 1. To Ensure Quality Dental Care for the People of Texas												
1.1.1. Complaint Resolution	5,660,411	5,789,843								5,660,411	5,789,843	632,982
1.1.2. Peer Assistance Program	266,611	263,856								266,611	263,856	624
1.2.1. Licensure/Registration/Cert	1,523,645	1,478,104					517,000	517,000	2,040,645	1,995,104		30,416
1.2.2. Texas.Gov	500,000	500,000							500,000	500,000		
Total, Goal	7,950,667	8,031,803					517,000	517,000	8,467,667	8,548,803		664,022
Goal: 2. Indirect Administration												
2.1.1. Indirect Admin - Licensure	137,706	91,346								137,706	91,346	2,098
2.1.2. Ind Admin - Complaint Resolution	126,660	91,884								126,660	91,884	2,108
Total, Goal	264,366	183,230							264,366	183,230		4,206
Total, Agency	8,215,033	8,215,033					517,000	517,000	8,732,033	8,732,033		668,228
Total FTEs									57.0	59.0		0.5

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
1 To Ensure Quality Dental Care for the People of Texas					
1 <i>Protect the Public by Ensuring That Complaints Are Investigated</i>					
1 COMPLAINT RESOLUTION	2,889,133	2,672,204	2,988,207	2,888,367	2,901,476
2 PEER ASSISTANCE PROGRAM	113,663	134,683	131,928	131,928	131,928
2 <i>Ensure Licensing Standards Are Met</i>					
1 LICENSURE/REGISTRATION/CERT	962,809	1,039,264	1,001,381	997,302	997,802
2 TEXAS.GOV	195,037	250,000	250,000	250,000	250,000
TOTAL, GOAL 1	\$4,160,642	\$4,096,151	\$4,371,516	\$4,267,597	\$4,281,206
2 Indirect Administration					
1 <i>Indirect Administration</i>					
1 INDIRECT ADMIN - LICENSURE	43,257	92,033	45,673	45,673	45,673
2 IND ADMIN - COMPLAINT RESOLUTION	50,817	78,800	47,860	45,942	45,942

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
TOTAL, GOAL 2	\$94,074	\$170,833	\$93,533	\$91,615	\$91,615
TOTAL, AGENCY STRATEGY REQUEST	\$4,254,716	\$4,266,984	\$4,465,049	\$4,359,212	\$4,372,821
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$4,254,716	\$4,266,984	\$4,465,049	\$4,359,212	\$4,372,821
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	3,923,159	4,008,484	4,206,549	4,100,712	4,114,321
SUBTOTAL	\$3,923,159	\$4,008,484	\$4,206,549	\$4,100,712	\$4,114,321
Other Funds:					
666 Appropriated Receipts	331,557	258,500	258,500	258,500	258,500
SUBTOTAL	\$331,557	\$258,500	\$258,500	\$258,500	\$258,500
TOTAL, METHOD OF FINANCING	\$4,254,716	\$4,266,984	\$4,465,049	\$4,359,212	\$4,372,821

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance

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Agency code: 504		Agency name: Texas State Board of Dental Examiners				
METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021	
<u>GENERAL REVENUE</u>						
<u>1</u> General Revenue Fund						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2016-17 GAA)	\$4,076,951	\$0	\$0	\$0	\$0	
Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$4,008,484	\$3,983,606	\$4,100,712	\$4,114,321	
<i>RIDER APPROPRIATION</i>						
Art IX Sec 18.33 (2018-2019 GAA)	\$0	\$371,835	\$222,943	\$0	\$0	
<i>TRANSFERS</i>						
Art IX, Sec 18.02, Salary Increase for General State Employees (2016-17)	\$32,824	\$0	\$0	\$0	\$0	
<i>LAPSED APPROPRIATIONS</i>						
Article VIII, Sec. 4, Texas.gov Appropriation (2016-17 GAA)	\$(54,963)	\$0	\$0	\$0	\$0	

2.B. Summary of Base Request by Method of Finance
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Agency code: 504		Agency name: Texas State Board of Dental Examiners				
METHOD OF FINANCING		Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>GENERAL REVENUE</u>						
	Savings due to Hiring Freeze	\$(131,653)	\$0	\$0	\$0	\$0
	Art IX Sec 18.33 SB 313 (18-19 GAA)	\$0	\$(371,835)	\$0	\$0	\$0
TOTAL,	General Revenue Fund	\$3,923,159	\$4,008,484	\$4,206,549	\$4,100,712	\$4,114,321
TOTAL, ALL	GENERAL REVENUE	\$3,923,159	\$4,008,484	\$4,206,549	\$4,100,712	\$4,114,321

OTHER FUNDS

666 Appropriated Receipts

REGULAR APPROPRIATIONS

GAA 2016-2017

\$258,500 \$0 \$0 \$0 \$0

Regular Appropriations from MOF Table (2018-19 GAA)

\$0 \$258,500 \$258,500 \$258,500 \$258,500

RIDER APPROPRIATION

2.B. Summary of Base Request by Method of Finance

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Agency code: 504		Agency name: Texas State Board of Dental Examiners				
METHOD OF FINANCING		Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>OTHER FUNDS</u>						
Art IX, Sec 8.07, Seminars and Conference (2016-17 GAA)		\$73,057	\$0	\$0	\$0	\$0
TOTAL,	Appropriated Receipts	\$331,557	\$258,500	\$258,500	\$258,500	\$258,500
TOTAL, ALL	OTHER FUNDS	\$331,557	\$258,500	\$258,500	\$258,500	\$258,500
GRAND TOTAL		\$4,254,716	\$4,266,984	\$4,465,049	\$4,359,212	\$4,372,821

2.B. Summary of Base Request by Method of Finance

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METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<p>Agency code: 504 Agency name: Texas State Board of Dental Examiners</p>					
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2016-17 GAA)	58.0	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2018-19 GAA)	0.0	55.0	55.0	59.0	59.0
RIDER APPROPRIATION					
Art IX Sec 18.33 for SB 313 (18-19 GAA)	0.0	4.0	4.0	0.0	0.0
LAPSED APPROPRIATIONS					
Art IX Sec 18.33 SB 313 (18-19 GAA) Unfilled Until Revenue Projections Approved	0.0	(4.0)	0.0	0.0	0.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
Vacancies Due to Hiring Freeze 2016-2017 GAA	(7.2)	0.0	0.0	0.0	0.0
Vacancies	0.0	(3.5)	(2.0)	0.0	0.0
TOTAL, ADJUSTED FTES	50.8	51.5	57.0	59.0	59.0

**NUMBER OF 100% FEDERALLY FUNDED
FTEs**

2.C. Summary of Base Request by Object of Expense
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OBJECT OF EXPENSE	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
1001 SALARIES AND WAGES	\$2,560,131	\$2,459,097	\$2,526,392	\$2,664,892	\$2,664,892
1002 OTHER PERSONNEL COSTS	\$81,618	\$183,891	\$56,660	\$62,220	\$68,220
2001 PROFESSIONAL FEES AND SERVICES	\$367,874	\$324,509	\$634,573	\$415,729	\$425,079
2003 CONSUMABLE SUPPLIES	\$24,483	\$26,003	\$26,000	\$26,000	\$26,000
2004 UTILITIES	\$15,090	\$13,279	\$15,900	\$15,900	\$15,900
2005 TRAVEL	\$99,466	\$60,113	\$100,468	\$100,468	\$100,468
2006 RENT - BUILDING	\$361	\$162	\$250	\$250	\$250
2007 RENT - MACHINE AND OTHER	\$12,443	\$18,094	\$19,000	\$17,000	\$17,000
2009 OTHER OPERATING EXPENSE	\$1,075,670	\$1,171,852	\$1,065,806	\$1,056,753	\$1,055,012
5000 CAPITAL EXPENDITURES	\$17,580	\$9,984	\$20,000	\$0	\$0
OOE Total (Excluding Riders)	\$4,254,716	\$4,266,984	\$4,465,049	\$4,359,212	\$4,372,821
OOE Total (Riders)					
Grand Total	\$4,254,716	\$4,266,984	\$4,465,049	\$4,359,212	\$4,372,821

2.D. Summary of Base Request Objective Outcomes
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Goal/ Objective / Outcome	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
1 To Ensure Quality Dental Care for the People of Texas					
1 Protect the Public by Ensuring That Complaints Are Investigated					
KEY 1 Percent of Complaints Resulting in Disciplinary Action	19.12%	12.00%	12.00%	12.00%	12.00%
KEY 2 Percent of Complaints Resulting in Remedial Action	6.00%	8.00%	8.00%	8.00%	8.00%
3 Recidivism Rate for Those Receiving Disciplinary Action	6.80%	3.00%	3.00%	3.00%	3.00%
4 Percent of Documented Complaints Resolved within 6 Months	24.00%	37.00%	40.00%	40.00%	40.00%
5 Recidivism Rate for Peer Assistance Programs	11.19%	12.00%	12.00%	12.00%	12.00%
6 One-Year Completion Rate for Peer Assistance Programs	100.00%	90.00%	90.00%	90.00%	90.00%
2 Ensure Licensing Standards Are Met					
KEY 1 Percent of Licensees with No Recent Violations: Dentist	98.29%	97.00%	97.00%	97.00%	97.00%
2 Percent of Licensees with No Recent Violations: DH	99.75%	99.00%	99.00%	99.00%	99.00%
KEY 3 Percent of Licensees Who Renew Online	87.22%	85.00%	85.00%	85.00%	85.00%
KEY 4 Percent of New Individual Licenses Issued Online	66.53%	25.00%	25.00%	25.00%	25.00%

2.E. Summary of Exceptional Items Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/8/2018
 TIME : 8:04:34AM

Agency code: **504**

Agency name: **Texas State Board of Dental Examiners**

Priority	Item	2020			2021			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	Anesthesia Funding	\$185,918	\$185,918		\$185,918	\$185,918		\$371,836	\$371,836
2	Merit	\$72,120	\$72,120		\$72,120	\$72,120		\$144,240	\$144,240
3	PT Dentist	\$66,300	\$66,300	0.5	\$66,300	\$66,300	0.5	\$132,600	\$132,600
4	HPC	\$7,064	\$7,064		\$12,488	\$12,488		\$19,552	\$19,552
Total, Exceptional Items Request		\$331,402	\$331,402	0.5	\$336,826	\$336,826	0.5	\$668,228	\$668,228

Method of Financing

General Revenue	\$331,402	\$331,402		\$336,826	\$336,826		\$668,228	\$668,228
General Revenue - Dedicated								
Federal Funds								
Other Funds								
	\$331,402	\$331,402		\$336,826	\$336,826		\$668,228	\$668,228

Full Time Equivalent Positions

0.5

0.5

Number of 100% Federally Funded FTEs

2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/8/2018
 TIME : 8:04:34AM

Agency code: 504 Agency name: Texas State Board of Dental Examiners

Goal/Objective/STRATEGY	Base 2020	Base 2021	Exceptional 2020	Exceptional 2021	Total Request 2020	Total Request 2021
1 To Ensure Quality Dental Care for the People of Texas						
<i>1 Protect the Public by Ensuring That Complaints Are Investigated</i>						
1 COMPLAINT RESOLUTION	\$2,888,367	\$2,901,476	\$313,779	\$319,203	\$3,202,146	\$3,220,679
2 PEER ASSISTANCE PROGRAM	131,928	131,928	312	312	132,240	132,240
<i>2 Ensure Licensing Standards Are Met</i>						
1 LICENSURE/REGISTRATION/CERT	997,302	997,802	15,208	15,208	1,012,510	1,013,010
2 TEXAS.GOV	250,000	250,000	0	0	250,000	250,000
TOTAL, GOAL 1	\$4,267,597	\$4,281,206	\$329,299	\$334,723	\$4,596,896	\$4,615,929
2 Indirect Administration						
<i>1 Indirect Administration</i>						
1 INDIRECT ADMIN - LICENSURE	45,673	45,673	1,049	1,049	46,722	46,722
2 IND ADMIN - COMPLAINT RESOLUTION	45,942	45,942	1,054	1,054	46,996	46,996
TOTAL, GOAL 2	\$91,615	\$91,615	\$2,103	\$2,103	\$93,718	\$93,718
TOTAL, AGENCY STRATEGY REQUEST	\$4,359,212	\$4,372,821	\$331,402	\$336,826	\$4,690,614	\$4,709,647
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$4,359,212	\$4,372,821	\$331,402	\$336,826	\$4,690,614	\$4,709,647

2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/8/2018
 TIME : 8:04:34AM

Agency code: 504 Agency name: Texas State Board of Dental Examiners

Goal/Objective/STRATEGY	Base 2020	Base 2021	Exceptional 2020	Exceptional 2021	Total Request 2020	Total Request 2021
General Revenue Funds:						
1 General Revenue Fund	\$4,100,712	\$4,114,321	\$331,402	\$336,826	\$4,432,114	\$4,451,147
	\$4,100,712	\$4,114,321	\$331,402	\$336,826	\$4,432,114	\$4,451,147
Other Funds:						
666 Appropriated Receipts	258,500	258,500	0	0	258,500	258,500
	\$258,500	\$258,500	\$0	\$0	\$258,500	\$258,500
TOTAL, METHOD OF FINANCING	\$4,359,212	\$4,372,821	\$331,402	\$336,826	\$4,690,614	\$4,709,647
FULL TIME EQUIVALENT POSITIONS	59.0	59.0	0.5	0.5	59.5	59.5

2.G. Summary of Total Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/8/2018
 Time: 8:04:35AM

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Goal/ Objective / Outcome

		BL 2020	BL 2021	Excp 2020	Excp 2021	Total Request 2020	Total Request 2021
1	To Ensure Quality Dental Care for the People of Texas						
1	<i>Protect the Public by Ensuring That Complaints Are Investigated</i>						
KEY	1 Percent of Complaints Resulting in Disciplinary Action						
		12.00%	12.00%			12.00%	12.00%
KEY	2 Percent of Complaints Resulting in Remedial Action						
		8.00%	8.00%			8.00%	8.00%
	3 Recidivism Rate for Those Receiving Disciplinary Action						
		3.00%	3.00%			3.00%	3.00%
	4 Percent of Documented Complaints Resolved within 6 Months						
		40.00%	40.00%			40.00%	40.00%
	5 Recidivism Rate for Peer Assistance Programs						
		12.00%	12.00%			12.00%	12.00%
	6 One-Year Completion Rate for Peer Assistance Programs						
		90.00%	90.00%			90.00%	90.00%
2	<i>Ensure Licensing Standards Are Met</i>						
KEY	1 Percent of Licensees with No Recent Violations: Dentist						
		97.00%	97.00%			97.00%	97.00%
	2 Percent of Licensees with No Recent Violations: DH						
		99.00%	99.00%			99.00%	99.00%

2.G. Summary of Total Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/8/2018
 Time: 8:04:35AM

Agency code: **504**

Agency name: **Texas State Board of Dental Examiners**

Goal/ Objective / Outcome

	BL 2020	BL 2021	Excp 2020	Excp 2021	Total Request 2020	Total Request 2021
KEY	3 Percent of Licensees Who Renew Online					
	85.00%	85.00%			85.00%	85.00%
KEY	4 Percent of New Individual Licenses Issued Online					
	25.00%	25.00%			25.00%	25.00%

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated
 STRATEGY: 1 Provide a System to Investigate and Resolve Complaints

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Output Measures:						
KEY 1	Number of Complaints Resolved	892.00	1,000.00	1,000.00	1,000.00	1,000.00
Efficiency Measures:						
KEY 1	Average Time for Complaint Resolution	423.14	330.00	330.00	400.00	400.00
Explanatory/Input Measures:						
KEY 1	Number of Jurisdictional Complaints Received	838.00	1,075.00	1,075.00	1,075.00	1,075.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$2,024,723	\$1,871,682	\$1,931,136	\$2,069,636	\$2,069,636
1002	OTHER PERSONNEL COSTS	\$61,595	\$151,834	\$45,695	\$51,255	\$57,255
2001	PROFESSIONAL FEES AND SERVICES	\$262,100	\$198,715	\$510,121	\$293,157	\$302,507
2003	CONSUMABLE SUPPLIES	\$7,830	\$5,183	\$6,000	\$6,000	\$6,000
2004	UTILITIES	\$14,688	\$9,414	\$12,000	\$12,000	\$12,000
2005	TRAVEL	\$99,300	\$59,813	\$100,168	\$100,168	\$100,168
2006	RENT - BUILDING	\$325	\$133	\$250	\$250	\$250
2007	RENT - MACHINE AND OTHER	\$4,804	\$6,972	\$7,000	\$5,000	\$5,000
2009	OTHER OPERATING EXPENSE	\$396,188	\$358,474	\$355,837	\$350,901	\$348,660
5000	CAPITAL EXPENDITURES	\$17,580	\$9,984	\$20,000	\$0	\$0

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated Service Categories:
 STRATEGY: 1 Provide a System to Investigate and Resolve Complaints Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
TOTAL, OBJECT OF EXPENSE		\$2,889,133	\$2,672,204	\$2,988,207	\$2,888,367	\$2,901,476
Method of Financing:						
1	General Revenue Fund	\$2,889,133	\$2,672,204	\$2,988,207	\$2,888,367	\$2,901,476
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,889,133	\$2,672,204	\$2,988,207	\$2,888,367	\$2,901,476
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,888,367	\$2,901,476
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,889,133	\$2,672,204	\$2,988,207	\$2,888,367	\$2,901,476
FULL TIME EQUIVALENT POSITIONS:		37.4	38.2	41.7	41.5	41.5

STRATEGY DESCRIPTION AND JUSTIFICATION:

The TSBDE investigates all jurisdictional complaints (Tex. Occ.Code Ann. 255.006). Investigations that identify and confirm treatment below the minimum standard of care result in prosecution and sanctions. The TSBDE processes include a compliance monitor to ensure those disciplined complete board-ordered requirements timely and in accordance with the TSBDE terms. Implementation of this strategy helps ensure that the public receives competent dental care.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated Service Categories:
 STRATEGY: 1 Provide a System to Investigate and Resolve Complaints Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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External factors impacting this strategy are the number of jurisdictional complaints filed and investigated, as well as licensee compliance (or lack thereof) by those receiving board-directed sanctions. These factors can directly impact the hours of staff commitment to the administration of this strategy.

FTEs have been increased in this strategy since the last LAR and HB301, passed in the 83rd session, made substantive changes to agency's enforcement process, including the creation of a 60 day period for a preliminary review, the use of an expert panel to review the appropriate standard of care, the use of a remedial pan to resolve less serious complaints in a non-disciplinary manner, and the additional of a Dental Director. However, the mandated 4% reduction results in a reduction of the FTEs that were gained in the last session and will setback the advances made by the agency with the additional workforce.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>	<u>CHANGE</u>	<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$5,660,411	\$5,789,843	\$129,432	\$129,432	Funds were transferred from other appropriations to more appropriately report expenditures by program.
			\$129,432	Total of Explanation of Biennial Change

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated
 STRATEGY: 2 Provide a Peer Assistance Program for Licensed Individuals

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Output Measures:						
KEY 1	# of Licensed Individuals Participating in a Peer Assistance Program	58.00	85.00	85.00	85.00	85.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$15,929	\$10,398	\$10,398	\$10,398	\$10,398
1002	OTHER PERSONNEL COSTS	\$78	\$0	\$0	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$97,502	\$124,134	\$121,322	\$121,322	\$121,322
2009	OTHER OPERATING EXPENSE	\$154	\$151	\$208	\$208	\$208
TOTAL, OBJECT OF EXPENSE		\$113,663	\$134,683	\$131,928	\$131,928	\$131,928
Method of Financing:						
1	General Revenue Fund	\$113,663	\$134,683	\$131,928	\$131,928	\$131,928
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$113,663	\$134,683	\$131,928	\$131,928	\$131,928
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$131,928	\$131,928
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$113,663	\$134,683	\$131,928	\$131,928	\$131,928
FULL TIME EQUIVALENT POSITIONS:		0.4	0.3	0.3	0.5	0.5

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated Service Categories:
 STRATEGY: 2 Provide a Peer Assistance Program for Licensed Individuals Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The TSBDE's peer assistance program is operated by a Board-approved provider, Texas Pharmacy Association - Professional Recovery Network, under authority provided by Health & Safety Code, Sec. 467. The peer assistance program provider serves the TSBDE and the dental profession in several ways: (1) monitors impaired and chemically impaired dental professionals' compliance with their Board orders, (2) assists impaired dental professionals in their efforts to become unimpaired and non-chemically dependent, and (3) notifies the TSBDE of practitioners who may be impaired and may be a threat to public welfare.

The TSBDE collects \$9 from each licensed dentist and \$2 from each licensed dental hygienist for the peer assistance program. This appropriation is capped. Any fees over the cap are deposited into the General Revenue Fund.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Fees are collected from licensees by the TSBDE to contract services for the purpose of providing a peer assistance program for impaired dentists and dental hygienists. If funding were not provided, the TSBDE would not be in compliance with Chapter 467 of the Health and Safety Code and would not be in a position to offer this needed service to impaired licensees, which eventually helps to increase public protection.

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated Service Categories:
 STRATEGY: 2 Provide a Peer Assistance Program for Licensed Individuals Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$266,611	\$263,856	\$(2,755)	\$(2,755)	Funds were transferred to other appropriations to more appropriately report expenditures by program.
			\$(2,755)	Total of Explanation of Biennial Change

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas

OBJECTIVE: 2 Ensure Licensing Standards Are Met

Service Categories:

STRATEGY: 1 Conduct an Efficient Licensure/Registration/Certification Process

Service: 16

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Output Measures:						
KEY 1	Number of New Licenses Issued to Individuals: Dentists	1,080.00	975.00	975.00	975.00	975.00
KEY 2	Number of Licenses Renewed (Individuals): Dentists	17,179.00	14,525.00	14,525.00	14,525.00	14,525.00
KEY 3	Number of New Licenses Issued to Individuals: Dental Hygienists	794.00	775.00	775.00	775.00	775.00
KEY 4	Number of Licenses Renewed (Individuals): Dental Hygienists	13,579.00	11,025.00	11,025.00	11,025.00	11,025.00
KEY 5	Number of New Registrations Issued: Dental Assistants	9,390.00	7,175.00	7,175.00	7,175.00	7,175.00
KEY 6	Number of Registrations Renewed: Dental Assistants	41,254.00	36,850.00	36,850.00	36,850.00	36,850.00
Efficiency Measures:						
1	Percentage of New Individual Licenses Issued within 10 Days: D & DH	12.00 %	65.00 %	65.00 %	65.00 %	65.00 %
2	Percentage of Individual License Renewals Issued within 7 Days: D & DH	96.01 %	95.00 %	95.00 %	95.00 %	95.00 %
Explanatory/Input Measures:						
1	Total Number of Individuals Licensed: Dentists	16,279.00	16,475.00	16,475.00	16,475.00	16,475.00
2	Total Number of Individuals Licensed: Dental Hygienist	12,800.00	12,875.00	12,875.00	12,875.00	12,875.00
3	Total Number of Individuals Licensed: Dental Assistants	34,661.00	32,850.00	32,850.00	32,850.00	32,850.00
KEY 4	Total Number of Business Facilities Registered	808.00	850.00	850.00	850.00	850.00

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 2 Ensure Licensing Standards Are Met Service Categories:
 STRATEGY: 1 Conduct an Efficient Licensure/Registration/Certification Process Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$451,534	\$506,923	\$514,764	\$514,764	\$514,764
1002	OTHER PERSONNEL COSTS	\$16,376	\$26,275	\$10,845	\$10,845	\$10,845
2001	PROFESSIONAL FEES AND SERVICES	\$461	\$1,610	\$1,250	\$1,250	\$1,250
2003	CONSUMABLE SUPPLIES	\$16,641	\$20,796	\$20,000	\$20,000	\$20,000
2004	UTILITIES	\$402	\$3,865	\$3,900	\$3,900	\$3,900
2005	TRAVEL	\$166	\$300	\$300	\$300	\$300
2006	RENT - BUILDING	\$36	\$29	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$7,639	\$11,122	\$12,000	\$12,000	\$12,000
2009	OTHER OPERATING EXPENSE	\$469,554	\$468,344	\$438,322	\$434,243	\$434,743
TOTAL, OBJECT OF EXPENSE		\$962,809	\$1,039,264	\$1,001,381	\$997,302	\$997,802
Method of Financing:						
1	General Revenue Fund	\$631,252	\$780,764	\$742,881	\$738,802	\$739,302
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$631,252	\$780,764	\$742,881	\$738,802	\$739,302
Method of Financing:						
666	Appropriated Receipts	\$331,557	\$258,500	\$258,500	\$258,500	\$258,500

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 2 Ensure Licensing Standards Are Met Service Categories:
 STRATEGY: 1 Conduct an Efficient Licensure/Registration/Certification Process Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
SUBTOTAL, MOF (OTHER FUNDS)		\$331,557	\$258,500	\$258,500	\$258,500	\$258,500
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$997,302	\$997,802
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$962,809	\$1,039,264	\$1,001,381	\$997,302	\$997,802
FULL TIME EQUIVALENT POSITIONS:		11.0	11.0	13.0	15.0	15.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The TSBDE administers all aspects of licensure and certification for qualified dental professionals (Tx Occ Code 256 et.seq.) In addition to licensure to practice dentistry and dental hygiene, the TSBDE registers all dental assistants who take radiographs, all dental auxiliaries that wish to monitor the administration of nitrous oxide conscious sedation or apply dental sealants. The agency also registers dental laboratories and mobile dental facilities. Renewals of all these certifications occur annually. Additionally, monitoring of continuing education compliance, retirement and reinstatement of eligible licenses, and maintenance of all licensing records occurs through this strategy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Maintaining quality personnel and limiting turnover is critical to the success of the agency.

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 2 Ensure Licensing Standards Are Met Service Categories:
 STRATEGY: 1 Conduct an Efficient Licensure/Registration/Certification Process Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$2,040,645	\$1,995,104	\$(45,541)	\$(45,541)	Funds were transferred to other appropriations to more appropriately report expenditures by program.
			\$(45,541)	Total of Explanation of Biennial Change

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 2 Ensure Licensing Standards Are Met
 STRATEGY: 2 Texas.gov. Estimated and Nontransferable

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$195,037	\$250,000	\$250,000	\$250,000	\$250,000
TOTAL, OBJECT OF EXPENSE		\$195,037	\$250,000	\$250,000	\$250,000	\$250,000
Method of Financing:						
1	General Revenue Fund	\$195,037	\$250,000	\$250,000	\$250,000	\$250,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$195,037	\$250,000	\$250,000	\$250,000	\$250,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$250,000	\$250,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$195,037	\$250,000	\$250,000	\$250,000	\$250,000

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

The TSBDE collects \$10 from Dentists, \$6 from Dental Hygienists, \$3 from Dental Laboratories and \$2 from Dental Assistants. Texas Online is just a pass-through appropriation. All funds collected for Texas Online are transferred to the vendor by the 10th of the following month.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas
 OBJECTIVE: 2 Ensure Licensing Standards Are Met Service Categories:
 STRATEGY: 2 Texas.gov. Estimated and Nontransferable Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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All funds collected by the agency under the Texas Online program are paid to the vendor on the tenth day of the following month and cannot be reduced or used for any other purpose.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$500,000	\$500,000	\$0	\$0	Total of Explanation of Biennial Change

504 Texas State Board of Dental Examiners

GOAL: 2 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Indirect Administration - Licensure and Registration

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$33,985	\$34,974	\$34,974	\$34,974	\$34,974
1002	OTHER PERSONNEL COSTS	\$3,399	\$5,662	\$0	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$9	\$25	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$6	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$5,858	\$51,372	\$10,699	\$10,699	\$10,699
TOTAL, OBJECT OF EXPENSE		\$43,257	\$92,033	\$45,673	\$45,673	\$45,673
Method of Financing:						
1	General Revenue Fund	\$43,257	\$92,033	\$45,673	\$45,673	\$45,673
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$43,257	\$92,033	\$45,673	\$45,673	\$45,673
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$45,673	\$45,673
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$43,257	\$92,033	\$45,673	\$45,673	\$45,673
FULL TIME EQUIVALENT POSITIONS:		1.0	1.0	1.0	1.0	1.0

504 Texas State Board of Dental Examiners

GOAL: 2 Indirect Administration
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 1 Indirect Administration - Licensure and Registration Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The strategy includes a proportionate allocation of the agency's indirect costs. The Finance and Administration Division performs administrative and support functions for the agency including the internal operating budget, reporting of performance measures, purchasing, accounts payable, accounts receivable, travel reimbursement, payroll and personnel management, property management, and mail distribution.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The FTEs in this area support the agency via human resources, payroll, and other accounting and purchasing functions.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>	<u>CHANGE</u>	<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$137,706	\$91,346	\$(46,360)	\$(46,360)	Funds were transferred to other appropriations to more appropriately report expenditures by program.
			\$(46,360)	Total of Explanation of Biennial Change

504 Texas State Board of Dental Examiners

GOAL: 2 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Indirect Administration - Complaint Resolution

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$33,960	\$35,120	\$35,120	\$35,120	\$35,120
1002	OTHER PERSONNEL COSTS	\$170	\$120	\$120	\$120	\$120
2001	PROFESSIONAL FEES AND SERVICES	\$7,802	\$25	\$1,880	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$6	\$24	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$8,879	\$43,511	\$10,740	\$10,702	\$10,702
TOTAL, OBJECT OF EXPENSE		\$50,817	\$78,800	\$47,860	\$45,942	\$45,942
Method of Financing:						
1	General Revenue Fund	\$50,817	\$78,800	\$47,860	\$45,942	\$45,942
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$50,817	\$78,800	\$47,860	\$45,942	\$45,942
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$45,942	\$45,942
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$50,817	\$78,800	\$47,860	\$45,942	\$45,942
FULL TIME EQUIVALENT POSITIONS:		1.0	1.0	1.0	1.0	1.0

504 Texas State Board of Dental Examiners

GOAL: 2 Indirect Administration
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 2 Indirect Administration - Complaint Resolution Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The strategy includes a proportionate allocation of the agency's indirect costs. The Finance and Administration Division performs administrative and support functions for the agency including the internal operating budget, reporting of performance measures, purchasing, accounts payable, accounts receivable, travel reimbursement, payroll and personnel management, property management, and mail distribution.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The FTEs in this area support the agency via human resources, payroll and other accounting and purchasing functions.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>	<u>CHANGE</u>	<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$126,660	\$91,884	\$(34,776)	\$(34,776)	Funds were transferred to other appropriations to more appropriately report expenditures by program.
			\$(34,776)	Total of Explanation of Biennial Change

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$4,254,716	\$4,266,984	\$4,465,049	\$4,359,212	\$4,372,821
METHODS OF FINANCE (INCLUDING RIDERS):				\$4,359,212	\$4,372,821
METHODS OF FINANCE (EXCLUDING RIDERS):	\$4,254,716	\$4,266,984	\$4,465,049	\$4,359,212	\$4,372,821
FULL TIME EQUIVALENT POSITIONS:	50.8	51.5	57.0	59.0	59.0

3.A.1. PROGRAM-LEVEL REQUEST SCHEDULE
86th Regular Session, Agency Submission, Version 1

Agency Code: 504		Agency: Texas Board of Dental Examiners				Prepared By: Diane Fulmer							
Date: 8/3/18						18-19	Requested	Requested	Biennial Total	Biennial Difference			
Goal	Goal Name	Strategy	Strategy Name	Program	Program Name	Base	2020	2021	18-19	\$	%		
A	Quality Dental Care	A.1.1.	Complaint Resolution	A.1.1.	Complaint Resolution	\$5,660,411	\$2,888,367	\$2,901,476	\$5,789,843	\$129,432	2.3%		
				A.1.1.	Exceptional Item #1 Anesthesia	\$185,918	\$185,918	\$371,836	\$371,836				
				A.1.1.	Exceptional Item #2 Merit	\$54,497	\$54,497	\$108,994	\$108,994				
				A.1.1.	Exceptional Item #3 PT Dentist	\$66,300	\$66,300	\$132,600	\$132,600				
				A.1.1.	Exceptional Item #4 HPC	\$7,064	\$12,488	\$19,552	\$19,552				
		A.1.2.	Peer Assistance	A.1.2.	Peer Assistance	\$266,611	\$131,928	\$131,928	\$263,856	(\$2,755)	-1.0%		
				A.1.2.	Exceptional Item #2 Merit	\$312	\$312	\$624	\$624				
									\$0	\$0			
		A.2.1.	Licensure/Registration/Certification	A.2.1.	Licensure/Registration/Certification	\$2,065,297	\$997,302	\$997,802	\$1,995,104	(\$70,193)	-3.4%		
				A.2.1.	Exceptional Item #2 Merit	\$15,208	\$15,208	\$30,416	\$30,416				
									\$0	\$0			
				A.2.2.	Texas.Gov	A.2.2.	Texas.Gov	\$475,348	\$250,000	\$250,000	\$500,000	\$24,652	5.2%
										\$0	\$0		
B	Indirect Administration	B.1.1.	Indirect Admin - Licensure	B.1.1.	Indirect Admin - Licensure	\$137,706	\$45,673	\$45,673	\$91,346	(\$46,360)	-33.7%		
				B.1.1.	Exceptional Item #2 Merit	\$1,049	\$1,049	\$2,098	\$2,098				
		B.1.2.	Indirect Admin - Complaint	B.1.2.	Indirect Admin - Complaint	\$126,660	\$45,942	\$45,942	\$91,884	(\$34,776)	-27.5%		
				B.1.2.	Exceptional Item #2 Merit	\$1,054	\$1,054	\$2,108	\$2,108				

3.B. Rider Revisions and Additions Request

Agency Code: 504	Agency Name: Texas State Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 07/27/2018	Request Level: Base
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Current Rider Number	Page Number in 2018-19 GAA	Proposed Rider Language
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2

VIII-8

Contingency for Behavioral Health Funds

Notwithstanding appropriation authority granted above, the Comptroller of Public Accounts shall not allow the expenditure of General Revenue-Related behavioral health funds for the Board of Dental Examiners in Strategy A.1.2, Peer Assistance Program, in fiscal year ~~2018~~ 2020 or fiscal year ~~2019~~ 2021, as identified in Art. IX, Sec. 10.04, Statewide Behavioral Health Strategic Plan and Coordinated Expenditures, if the Legislative Budget Board provides notification to the Comptroller of Public Accounts that the agency's planned expenditure of those funds in fiscal year ~~2018~~ 2020 or fiscal year ~~2019~~ 2021 does not satisfy the requirements of Art. IX, Sec 10.04, Statewide Behavioral Health Strategic Plan and Coordinated Expenditures.

This rider needs to be continued with the above noted changes.

3.B. Rider Revisions and Additions Request (continued)

Agency Code: 504	Agency Name: Texas State Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 7/27/2018	Request Level: Base
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Current Rider Number	Page Number in 2018-19 GAA	Proposed Rider Language
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3

VIII-9

Sunset Contingency.

Funds appropriated above for fiscal year 2019 for the Texas State Board of Dental Examiners are made contingent on the continuation of the Texas State Board of Dental Examiners by the Eighty-fifth Legislature, Regular Session, 2017. In the event that the agency is not continued, the funds appropriated for fiscal year 2018, or as much thereof as may be necessary are to be used to provide for the phase out of agency operations.

This rider needs to be deleted as the agency was not sunsetted.

3.B. Rider Revisions and Additions Request (continued)

Agency Code: 504	Agency Name: Texas State Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 7/27/2018	Request Level: Base
Current Rider Number	Page Number in 2018-19 GAA	Proposed Rider Language		

2

VIII-69

Appropriations Limited to Revenue Collections.

It is the intent of the Legislature that fees, fines, miscellaneous revenues, and available fund balances as authorized and generated by each of the following agencies cover, at a minimum, the cost of appropriations made above and elsewhere in this Act to those agencies as well as an amount equal to the amount identified in the informational item "Other Direct and Indirect Costs Appropriated Elsewhere in this Act."

....
Texas State Board of Dental Examiners
....

In the event that actual and/or projected revenue collection and fund balances are insufficient to offset the costs identified by this provision, the Legislative Budget Board and Governor may direct that the Comptroller of Public Accounts reduce the appropriation authority provided by this Act to be within the amount of fee revenue expected to be available.

This rider needs to be continued.

3.B. Rider Revisions and Additions Request

Agency Code: 364	Agency Name: Texas Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 7/27/2018	Request Level: Base
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Current Rider Number	Page Number in 2018-19 GAA	Proposed Rider Language
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3

VIII-69

Funding for Health Professions Council.

a. An agency participating in the Health Professions Council or the Health Professions Council Shared Regulatory Database shall transfer funds through interagency contract to the Health Professions Council from appropriations made to the agency elsewhere in this Act in order to carry out the functions required under Chapter 101, Occupations Code, and to maintain and update functions of the database. Included in the amounts appropriated above to the Health Professions Council, are funds transferred by the following participating agencies in the amounts noted below for each year of the ~~2018-19~~ biennium: 2020-21 biennium:

Fiscal Year 2018

<u>Participating Agency</u>	<u>Admin & Support</u>	<u>Regulatory Database</u>	<u>IT Shared Services</u>	<u>Laserfiche</u>	<u>Web Admin</u>	<u>Total</u>
...						
Texas State Board of Dental Examiners	\$20,632	\$227,661			\$13,949	\$262,242
...						
Fiscal Year 2018 Total	\$20,632	\$227,661	\$0	\$0	\$13,949	\$262,242

3.B. Rider Revisions and Additions Request

Agency Code: 364	Agency Name: Texas Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 7/27/2018	Request Level: Base
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Current Rider Number	Page Number in 2018-19 GAA	Proposed Rider Language
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Fiscal Year 2019

<u>Participating Agency</u>	<u>Admin & Support</u>	<u>Regulatory Database</u>	<u>IT Shared Services</u>	<u>Laserfiche</u>	<u>Web Admin</u>	<u>Total</u>
.... Texas State Board of Dental Examiners	\$20,632	\$227,661			\$13,949	\$262,242
.... Fiscal Year 2019 Total	\$20,632	\$227,661	\$0	\$0	\$13,949	\$262,242

3.B. Rider Revisions and Additions Request

Agency Code: 364	Agency Name: Texas Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 7/27/2018	Request Level: Base
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Current Rider Number	Page Number in 2018-19 GAA	Proposed Rider Language
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Fiscal Year 2020

<u>Participating Agency</u>	<u>Admin & Support</u>	<u>Regulatory Database</u>	<u>IT Shared Services</u>	<u>Laserfiche</u>	<u>Web Admin</u>	<u>Total</u>
.... <u>Texas State Board of Dental Examiners</u>	<u>\$27,949</u>	<u>\$228,031</u>			<u>\$13,326</u>	<u>\$269,306</u>
.... <u>Fiscal Year 2020 Total</u>	<u>\$27,949</u>	<u>\$228,031</u>	<u>\$0</u>	<u>\$0</u>	<u>\$13,326</u>	<u>\$269,306</u>

3.B. Rider Revisions and Additions Request

Agency Code: 364	Agency Name: Texas Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 7/27/2018	Request Level: Base
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Current Rider Number	Page Number in 2018-19 GAA	Proposed Rider Language
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Fiscal Year 2021

<u>Participating Agency</u>	<u>Admin & Support</u>	<u>Regulatory Database</u>	<u>IT Shared Services</u>	<u>Laserfiche</u>	<u>Web Admin</u>	<u>Total</u>
.... <u>Texas State Board of Dental Examiners</u>	<u>\$27,949</u>	<u>\$233,455</u>			<u>\$13,326</u>	<u>\$274,730</u>
....						
<u>Fiscal Year 2021 Total</u>	<u>\$27,949</u>	<u>\$233,455</u>	<u>\$0</u>	<u>\$0</u>	<u>\$13,326</u>	<u>\$274,730</u>

This rider needs to continue with the above noted changes.

This funding needs to be added to the member agencies general appropriations from the General Revenue Fund.

3.B. Rider Revisions and Additions Request (continued)

Agency Code: 504	Agency Name: Texas State Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 7/27/2018	Request Level: Base
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Current Rider Number	Page Number in 2018-19 GAA	Proposed Rider Language
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4

VIII-71

Texas.gov Appropriation

a. Each Article VIII licensing agency participating in the Texas.gov is authorized in accordance with § 2054.252 of the Government Code to increase the occupational license, permit, and registration fees imposed on the licensees by an amount sufficient to cover the cost of the subscription fee charged by the Texas.gov.

b. The following is an informational listing of appropriated fee revenue for each Article VIII licensing agency participating in Texas.gov for the purpose of paying Texas.gov subscription fees.

	Fiscal Year 2018	Fiscal Year 2019	
	<u>2020</u>	<u>2021</u>	
.....			
Texas State Board of Dental Examiners	\$250,000	\$250,000	
	<u>\$250,000</u>	<u>\$250,000</u>	
.....			

Total

c. In the event that actual and/or projected revenue collections for fee increases to cover the cost of Texas.gov subscription fees are insufficient to offset the costs identified above, the Comptroller is hereby directed to reduce the appropriation authority provided by this Act to agencies participating in Texas.gov to be within the amount of fee revenue expected to be available.

3.B. Rider Revisions and Additions Request (continued)

Agency Code: 504	Agency Name: Texas State Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 7/27/2018	Request Level: Base
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Current Rider Number	Page Number in 2018-19 GAA	Proposed Rider Language
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d. For new licensing applications, the Article VIII licensing agencies participating in Texas.gov are hereby appropriated the additional revenue generated from occupational license, permit, or registration fees in excess of the Comptroller's biennial revenue estimate ~~2018-19~~ 2020-21 for the sole purpose of payment to the Texas.gov contractor of subscription fees for implementing and maintaining electronic services for the licensing agencies. Each agency, upon completion of necessary actions to access or increase fees, shall furnish copies of board meeting minutes, an annual schedule of the number of license issuances or renewals and associated annual fee total, and any other supporting documentation to the Comptroller. If the Comptroller finds the information sufficient to support the projection of the increased revenues, a notification letter will be issued and the contingent appropriation made available for the intended purposes.

e. Each Article VIII licensing agency participating in Texas.gov shall notify the Legislative Budget Board and the Comptroller of Public Accounts in writing upon receiving an exemption from participating in Texas.gov. Within 45 days of receiving an exemption, an agency shall provide the Legislative Budget Board and the Comptroller with a report of the effective date, the reason for the exemption, and all estimated expenditures for Texas.gov costs in the fiscal year in which the exemption is made.

This rider needs to continue with the above noted changes. The agency needs the authority to appropriate the additional revenue collected since this is a pass-through appropriation and any fees collected will be paid out to the vendor providing the service, no amounts will be retained by the agency.

3.B. Rider Revisions and Additions Request

Agency Code: 504	Agency Name: Texas State Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 07/27/2018	Request Level: Base
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Current Rider Number	Page Number in 2018-19 GAA	Proposed Rider Language
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5

VIII-72

Peer Assistance Program Funding Requirements.

Funds collected during the biennium beginning September 1, ~~2017~~ 2019, by the Board of Pharmacy pursuant to Chapter 564, Occupations Code, and by the Texas Board of Nursing, the Texas State Board of Dental Examiners, the Optometry Board, and the Board of Veterinary Medical Examiners pursuant to Chapter 467 of the Health and Safety Code, in order to administer or finance peer assistance programs for professionals impaired by chemical dependency or mental illness, are appropriated elsewhere in this Act as identified in each Board's peer assistance strategy. The expenditure of the appropriations identified by this section is hereby made contingent upon sufficient revenue collections from peer assistance surcharges or other receipts collected pursuant to Chapter 467 of the Health and Safety Code or Chapter 564, Occupations Code as appropriate. None of the appropriations identified by this section may be expended unless each agency with a peer assistance program has on file the following current documents:

- a. a request for proposal documentation and contracts documenting that the respective agency governing board has a competitively bid contract with the peer assistance program;
- b. documentation for programs authorized under Chapter 467 of the Health and Safety Code that the agency's peer assistance program has been certified by the Health and Human Services Commission (HHSC) as meeting all HHSC criteria for peer assistance programs;
- c. documentation for programs authorized under Chapter 467 showing compliance with statutory requirements regarding eligible participants and conditions for which services may be offered; and
- d. documentation that the program has been approved by the agency governing board.

This rider needs to continue with the above noted changes.

3.B. Rider Revisions and Additions Request (continued)

Agency Code: 504	Agency Name: Texas State Board of Dental Examiners	Prepared By: Diane Fulmer	Date: 8/5/2016	Request Level: Base
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Current Rider Number	Page Number in 2016-17 GAA	Proposed Rider Language
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IX-95

Sec. 18.33. Contingency for SB 313.

~~Contingent on the enactment of Senate Bill 313, or similar legislation relating to the continuation and functions of the Board of Dental Examiners, by the Eighty-fifth Legislature, Regular Session, the Board of Dental Examiners is appropriated \$371,835 in General Revenue in fiscal year 2018 and \$222,943 in General Revenue in fiscal year 2019 to implement provisions of the legislation. In addition, the "Number of Full-Time-Equivalents (FTE)" in the agency's bill pattern is increased by 4.0 FTEs in fiscal years 2018 and 2019. This appropriation is also contingent on the Board of Dental Examiners assessing or increasing fees sufficient to generate, in addition to revenue requirements elsewhere in this Act, during the 2018-19 biennium, \$733,662 in excess of \$16,000,000 (Object Code 3562), contained in the Comptroller of Public Accounts' Biennial Revenue Estimate for fiscal years 2018 and 2019. The Board of Dental Examiners, upon completion of necessary actions to assess or increase such additional fees, shall furnish copies of the Board of Dental Examiners' minutes and other information supporting the estimated revenues to be generated for the 2018-19 biennium under the revised fee structure to the Comptroller of Public Accounts. If the Comptroller finds the information sufficient to support the projection of increased revenues, a finding of fact to that effect shall be issued and the contingent appropriation shall be made available for intended purposes. For informational purposes, the amount of increased revenue identified above reflects amounts sufficient to cover direct appropriations of \$594,778 and other direct and indirect costs (estimated to be \$138,884 for the 2018-19 biennium).~~

This rider needs to be deleted as the Bill was passed and is part of baseline appropriations for 2020-21 LAR and exceptional item request.

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/8/2018**
 TIME: **8:04:36AM**

Agency code: **504**

Agency name:
Texas State Board of Dental Examiners

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Funding Restoration for Anesthesia Inspection Program Implementation Item Priority: 1 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 01-01-01 Provide a System to Investigate and Resolve Complaints		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	185,000	185,000
1002	OTHER PERSONNEL COSTS	918	918
TOTAL, OBJECT OF EXPENSE		\$185,918	\$185,918
METHOD OF FINANCING:			
1	General Revenue Fund	185,918	185,918
TOTAL, METHOD OF FINANCING		\$185,918	\$185,918

DESCRIPTION / JUSTIFICATION:

One of the major mandates set forth in SB 313 was the creation and operation of a risk-based inspection process for dentists administering parenteral anesthesia in the office setting. Since the board is charged with developing a process that includes pre-permit, random, and compliance inspections of all anesthesia permit holders, the legislature appropriated \$371,835 for fiscal year 2018 and \$222,943 for fiscal year 2019 to implement and maintain the process. However, due to changes in the board's composition, the resignation of the agency's executive director, and the transfer of two general counsels to other agencies, the anesthesia inspection program was not implemented until September 2018 and the board did not collect the appropriated fees for fiscal year 2018. Consequently, the board was unable to collect the \$371,835 earmarked for the first year of the inspection program's implementation. The \$371,835 inspection program funds are still needed to implement the inspection program. To compound the shortfall, because the \$371,835 was not used it is not currently considered part of the board's 2018-2019 base budget. As a result, the agency's 2020-2021 base budget will be calculated based on the fiscal year 2019 appropriation of \$222,943 instead of the original \$594,778. Consequently, the inspection program will only be funded for \$222,943 for only one year during the biennium.

EXTERNAL/INTERNAL FACTORS:

If base budget funds are not restored, the board will be unable to comply with SB 313's mandate to inspect all 3500 anesthesia permit holders by 2022 and to inspect every new anesthesia permit holder within the first year of practice. The shortfall will impact the salaries for inspectors, travel funds, and program administration costs. The shortfall will require transferring resources allocated to the agency's current investigation case load, thus extending the time required to close a case.

PCLS TRACKING KEY:

4.A. Exceptional Item Request Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/8/2018**
TIME: **8:04:36AM**

Agency code: **504**

Agency name:
Texas State Board of Dental Examiners

CODE	DESCRIPTION	Excp 2020	Excp 2021
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DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

This is an ongoing program mandated by SB 313

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

2022	2023	2024
\$408,861	\$408,861	\$408,861

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/8/2018**
 TIME: **8:04:36AM**

Agency code: **504**

Agency name:
Texas State Board of Dental Examiners

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Merit Salary Increases Item Priority: 2 IT Component: No Anticipated Out-year Costs: No Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies:		
	01-01-01 Provide a System to Investigate and Resolve Complaints		
	01-01-02 Provide a Peer Assistance Program for Licensed Individuals		
	01-02-01 Conduct an Efficient Licensure/Registration/Certification Process		
	02-01-01 Indirect Administration - Licensure and Registration		
	02-01-02 Indirect Administration - Complaint Resolution		

OBJECTS OF EXPENSE:

1002	OTHER PERSONNEL COSTS	72,120	72,120
	TOTAL, OBJECT OF EXPENSE	\$72,120	\$72,120

METHOD OF FINANCING:

1	General Revenue Fund	72,120	72,120
	TOTAL, METHOD OF FINANCING	\$72,120	\$72,120

DESCRIPTION / JUSTIFICATION:

The TSBDE is committed to excellence and professionalism in responding to the needs of the public and the regulated profession that we serve. While the board's goal is to hire and retain qualified staff, the agency has had constant turnover and continues to lose employees to other agencies for higher salaries. For instance, from January 2018, the board lost two general councils to other agencies for increased pay and reduced responsibilities. As a small agency, each position is required to tackle a variety of assignments. Consequently, each employee is responsible for managing jobs that are spread among multiple employees at other agencies and is performing the additional duties at a lower rate of pay than their counterparts at those agencies.

The TSBDE respectfully requests consideration for an annual salary increase for all eligible board employees. In the few months I have served as the new executive director of the agency, several members of my staff have pointed out the need for a salary increase and noted that postings for similar positions in other state agencies list a higher salary. For example, the Health and Human Services Commission has a position posted for a compliance officer position with a starting salary of \$48,277 while the board's compliance officer only makes \$40,975 for substantially the same work load. Another example is attorney salaries. Depending on experience, beginning attorney salaries for the agency are \$60,000 compared to similar agency positions with other agencies that offer a starting salary of \$65,000. Merit increases will be a welcome relief for the board's employees and will encourage them to work even harder in the fulfillment of the board's agency wide goals. The board employees are invaluable and training replacement employees is expensive.

Agency code: 504

Agency name:

Texas State Board of Dental Examiners

CODE	DESCRIPTION	Excp 2020	Excp 2021
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EXTERNAL/INTERNAL FACTORS:

If the exceptional item is not funded, the agency will be faced with continuing the revolving door of hiring and training new employees only to see them transfer to other agencies because the agency cannot match the salaries offered by those agencies. Since the majority of agency employees have been with the agency less than three years, we have been faced with spending time training new employees instead of focusing on meeting performance measures.

For the agency to be a good steward of scarce state resources, I respectfully request your consideration of a three percent salary increase for all eligible TSBDE employees in an effort to reduce employee turnover and minimize agency disruption. The three percent merit increase will annually cost approximately \$72,119.

PCLS TRACKING KEY:

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/8/2018**
 TIME: **8:04:36AM**

Agency code: **504**

Agency name:
Texas State Board of Dental Examiners

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Half-time Dentist Item Priority: 3 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 01-01-01 Provide a System to Investigate and Resolve Complaints		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	65,000	65,000
2009	OTHER OPERATING EXPENSE	1,300	1,300
TOTAL, OBJECT OF EXPENSE		\$66,300	\$66,300
METHOD OF FINANCING:			
1	General Revenue Fund	66,300	66,300
TOTAL, METHOD OF FINANCING		\$66,300	\$66,300
FULL-TIME EQUIVALENT POSITIONS (FTE):		0.50	0.50

DESCRIPTION / JUSTIFICATION:

Since January 1, 2014, the dental practice division of TSBDE has been anchored by our dental review panel (DRP). HB 3201 83rd RS required the establishment of an expert review panel of Texas dentists to evaluate the agency's standard of care cases. Approximately 150 dentists and hygienists from across Texas review the active patient care cases to determine if the standard of care was met by the respondent. The statute requires that all standard of care cases be reviewed by at least two DRP members and that one report be issued on the findings of the review (Section 255.0065-255.0067, Texas Occupations Code). Since the implementation of the DRP, the agency has experienced a marked improvement in the quality and timeliness of the review of standard of care cases. The development and management of this program was the charge of the dental director who was hired as part of HB 3201 83rd RS. The management of that aspect of the program is the singular task for which money was appropriated for the dental director position. However, the dental director has assumed supervision of eight additional employees in the investigations division and is currently spending a great deal of her time evaluating cases, which are in the preliminary investigation phase, and assigning cases that will proceed to an official investigation. These additional duties also require dental expertise. With the additional duties are willingly accepted, our dental director has been unable to keep pace with the final review and processing of all cases that is required. As a result of having only one individual with dental expertise, the agency has experienced a bottleneck of cases on the path to resolution. The gains in efficiencies instituted in other phases of the investigation are currently being mitigated by the dental director being spread very thin and results in a negative impact on the agency's days to case resolution.

EXTERNAL/INTERNAL FACTORS:

The board respectfully requests the addition of a half-time dentist to eliminate the bottle neck of cases, reduce the days to case resolution, and meet the timeline for case resolution established by the legislature. In addition, the additional staff member will aid in the agency's compliance with the new 180-day investigation limitation established by SB 331 85th RS. The half-time dentist's primary responsibility will be moving cases to resolution. The cost of the position will be \$65,000.

4.A. Exceptional Item Request Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/8/2018**
TIME: **8:04:36AM**

Agency code: **504**

Agency name:
Texas State Board of Dental Examiners

<u>CODE</u>	<u>DESCRIPTION</u>	<u>Excp 2020</u>	<u>Excp 2021</u>
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PCLS TRACKING KEY:

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Annual salary for half-time dentist

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

<u>2022</u>	<u>2023</u>	<u>2024</u>
\$66,300	\$66,300	\$66,300

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/8/2018**
 TIME: **8:04:36AM**

Agency code: **504**

Agency name:
Texas State Board of Dental Examiners

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Health Professions Council Increase Item Priority: 4 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 01-01-01 Provide a System to Investigate and Resolve Complaints		

OBJECTS OF EXPENSE:

2009	OTHER OPERATING EXPENSE	7,064	12,488
TOTAL, OBJECT OF EXPENSE		\$7,064	\$12,488

METHOD OF FINANCING:

1	General Revenue Fund	7,064	12,488
TOTAL, METHOD OF FINANCING		\$7,064	\$12,488

DESCRIPTION / JUSTIFICATION:

The TSBDE is a member of the Health Professions Council (HPC) and, through an interagency contract with HPC, transfers funds from appropriations made to the TSBDE to HPC to cover a prorated share of HPC's operating budget. The TSBDE supports the HPC request for additional funds assuming additional appropriations are made to the board for any increase in funds to be transferred.

EXTERNAL/INTERNAL FACTORS:

In order to fund HPC exceptional item request, member agencies will need increase the IAC amount from appropriated funds

PCLS TRACKING KEY:

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Ongoing operational costs for HPC

4.A. Exceptional Item Request Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/8/2018**
TIME: **8:04:36AM**

Agency code: **504**

Agency name:

Texas State Board of Dental Examiners

<u>CODE</u>	<u>DESCRIPTION</u>	<u>Excp 2020</u>	<u>Excp 2021</u>
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ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

<u>2022</u>	<u>2023</u>	<u>2024</u>
\$12,488	\$12,488	\$12,488

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/8/2018**
 TIME: **8:04:36AM**

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name: Funding Restoration for Anesthesia Inspection Program Implementation			
Allocation to Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints			
OUTPUT MEASURES:			
<u>1</u>	Number of Complaints Resolved	30.00	30.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	185,000	185,000
1002	OTHER PERSONNEL COSTS	918	918
TOTAL, OBJECT OF EXPENSE		\$185,918	\$185,918
METHOD OF FINANCING:			
1	General Revenue Fund	185,918	185,918
TOTAL, METHOD OF FINANCING		\$185,918	\$185,918

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name: Merit Salary Increases			
Allocation to Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints			
OBJECTS OF EXPENSE:			
1002	OTHER PERSONNEL COSTS	54,497	54,497
TOTAL, OBJECT OF EXPENSE		\$54,497	\$54,497
METHOD OF FINANCING:			
1	General Revenue Fund	54,497	54,497
TOTAL, METHOD OF FINANCING		\$54,497	\$54,497

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name:	Merit Salary Increases		
Allocation to Strategy:	1-1-2 Provide a Peer Assistance Program for Licensed Individuals		
OBJECTS OF EXPENSE:			
1002	OTHER PERSONNEL COSTS	312	312
TOTAL, OBJECT OF EXPENSE		\$312	\$312
METHOD OF FINANCING:			
1	General Revenue Fund	312	312
TOTAL, METHOD OF FINANCING		\$312	\$312

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/8/2018
 TIME: 8:04:36AM

Agency code: 504 Agency name: Texas State Board of Dental Examiners

Code	Description	Excp 2020	Excp 2021
Item Name: Merit Salary Increases			
Allocation to Strategy: 1-2-1 Conduct an Efficient Licensure/Registration/Certification Process			
OBJECTS OF EXPENSE:			
1002	OTHER PERSONNEL COSTS	15,208	15,208
TOTAL, OBJECT OF EXPENSE		\$15,208	\$15,208
METHOD OF FINANCING:			
1	General Revenue Fund	15,208	15,208
TOTAL, METHOD OF FINANCING		\$15,208	\$15,208

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name: Merit Salary Increases			
Allocation to Strategy: 2-1-1 Indirect Administration - Licensure and Registration			
OBJECTS OF EXPENSE:			
1002	OTHER PERSONNEL COSTS	1,049	1,049
TOTAL, OBJECT OF EXPENSE		\$1,049	\$1,049
METHOD OF FINANCING:			
1	General Revenue Fund	1,049	1,049
TOTAL, METHOD OF FINANCING		\$1,049	\$1,049

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name: Merit Salary Increases			
Allocation to Strategy: 2-1-2 Indirect Administration - Complaint Resolution			
OBJECTS OF EXPENSE:			
1002	OTHER PERSONNEL COSTS	1,054	1,054
TOTAL, OBJECT OF EXPENSE		1,054	1,054
METHOD OF FINANCING:			
1	General Revenue Fund	1,054	1,054
TOTAL, METHOD OF FINANCING		1,054	1,054

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/8/2018**
 TIME: **8:04:36AM**

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name:	Half-time Dentist		
Allocation to Strategy:	1-1-1 Provide a System to Investigate and Resolve Complaints		
OUTPUT MEASURES:			
<u>1</u>	Number of Complaints Resolved	20.00	20.00
EFFICIENCY MEASURES:			
<u>1</u>	Average Time for Complaint Resolution	-20.00	-20.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	65,000	65,000
2009	OTHER OPERATING EXPENSE	1,300	1,300
TOTAL, OBJECT OF EXPENSE		\$66,300	\$66,300
METHOD OF FINANCING:			
1	General Revenue Fund	66,300	66,300
TOTAL, METHOD OF FINANCING		\$66,300	\$66,300
FULL-TIME EQUIVALENT POSITIONS (FTE):		0.5	0.5

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name: Health Professions Council Increase			
Allocation to Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	7,064	12,488
TOTAL, OBJECT OF EXPENSE		\$7,064	\$12,488
METHOD OF FINANCING:			
1	General Revenue Fund	7,064	12,488
TOTAL, METHOD OF FINANCING		\$7,064	\$12,488

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/8/2018
TIME: 8:04:36AM

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

GOAL: 1 To Ensure Quality Dental Care for the People of Texas

OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated

Service Categories:

STRATEGY: 1 Provide a System to Investigate and Resolve Complaints

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2020	Exp 2021
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OUTPUT MEASURES:

<u>1</u> Number of Complaints Resolved	50.00	50.00
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EFFICIENCY MEASURES:

<u>1</u> Average Time for Complaint Resolution	(20.00)	(20.00)
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	250,000	250,000
1002 OTHER PERSONNEL COSTS	55,415	55,415
2009 OTHER OPERATING EXPENSE	8,364	13,788
Total, Objects of Expense	\$313,779	\$319,203

METHOD OF FINANCING:

1 General Revenue Fund	313,779	319,203
Total, Method of Finance	\$313,779	\$319,203

FULL-TIME EQUIVALENT POSITIONS (FTE):	0.5	0.5
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Funding Restoration for Anesthesia Inspection Program Implementation

Merit Salary Increases

Half-time Dentist

Health Professions Council Increase

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/8/2018
TIME: 8:04:36AM

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

GOAL: 1 To Ensure Quality Dental Care for the People of Texas

OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated

Service Categories:

STRATEGY: 2 Provide a Peer Assistance Program for Licensed Individuals

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2020	Exp 2021
-------------------------	-----------------	-----------------

OBJECTS OF EXPENSE:

1002 OTHER PERSONNEL COSTS

312

312

Total, Objects of Expense

\$312

\$312

METHOD OF FINANCING:

1 General Revenue Fund

312

312

Total, Method of Finance

\$312

\$312

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Merit Salary Increases

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/8/2018
TIME: 8:04:36AM

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

GOAL: 1 To Ensure Quality Dental Care for the People of Texas

OBJECTIVE: 2 Ensure Licensing Standards Are Met

Service Categories:

STRATEGY: 1 Conduct an Efficient Licensure/Registration/Certification Process

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2020	Exp 2021
-------------------------	-----------------	-----------------

OBJECTS OF EXPENSE:

1002 OTHER PERSONNEL COSTS	15,208	15,208
Total, Objects of Expense	\$15,208	\$15,208

METHOD OF FINANCING:

1 General Revenue Fund	15,208	15,208
Total, Method of Finance	\$15,208	\$15,208

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Merit Salary Increases

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/8/2018
TIME: 8:04:36AM

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

GOAL: 2 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 1 Indirect Administration - Licensure and Registration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2020	Exp 2021
-------------------------	-----------------	-----------------

OBJECTS OF EXPENSE:

1002 OTHER PERSONNEL COSTS	1,049	1,049
Total, Objects of Expense	\$1,049	\$1,049

METHOD OF FINANCING:

1 General Revenue Fund	1,049	1,049
Total, Method of Finance	\$1,049	\$1,049

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Merit Salary Increases

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/8/2018
TIME: 8:04:36AM

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

GOAL: 2 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 2 Indirect Administration - Complaint Resolution

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2020	Exp 2021
-------------------------	-----------------	-----------------

OBJECTS OF EXPENSE:

1002 OTHER PERSONNEL COSTS	1,054	1,054
Total, Objects of Expense	\$1,054	\$1,054

METHOD OF FINANCING:

1 General Revenue Fund	1,054	1,054
Total, Method of Finance	\$1,054	\$1,054

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Merit Salary Increases

6.A. Historically Underutilized Business Supporting Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/8/2018
 Time: 8:04:36AM

Agency Code: 504 Agency: Texas State Board of Dental Examiners

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2016 - 2017 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2016			Total Expenditures FY 2016		HUB Expenditures FY 2017			Total Expenditures FY 2017	
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$	FY 2017		
23.7%	Professional Services	23.7 %	100.0%	76.3%	\$3,207	\$3,207	23.7 %	85.8%	62.1%	\$5,432	\$6,332	
26.0%	Other Services	26.0 %	9.3%	-16.7%	\$32,500	\$351,248	26.0 %	10.4%	-15.6%	\$44,082	\$421,995	
21.1%	Commodities	21.1 %	61.1%	40.0%	\$57,375	\$93,836	21.1 %	51.3%	30.2%	\$65,806	\$128,398	
	Total Expenditures		20.8%		\$93,082	\$448,291		20.7%		\$115,320	\$556,725	

B. Assessment of Fiscal Year 2016 - 2017 Efforts to Meet HUB Procurement Goals

Attainment:

The agency exceeded two of the three applicable statewide procurement goals in 2016 and 2017

Applicability:

Heavy Construction, Building and Special Trade categories do not apply to the agency.

Factors Affecting Attainment:

Barriers to meeting attainment goals has been the unavailability of HUB vendors for specific contracts such as Peer Assistance which results in the need to contract with a non-HUB vendor.

"Good-Faith" Efforts:

The agency has made the following good faith efforts to comply with Statewide HUB procurement goals:

Ensure for items or services solicited for bids that specification, terms and conditions reflect actual requirements, were clearly stated and did not impose any unreasonable or unnecessary contract requirements.

Prepared and distributed information on procurement procedures in a manner that encouraged participation in agency procurements by all businesses.

6.E. Estimated Revenue Collections Supporting Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

FUND/ACCOUNT	Act 2017	Exp 2018	Exp 2019	Bud 2020	Est 2021
<u>1</u> General Revenue Fund					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3562 Health Related Profession Fees	8,523,540	8,545,000	8,545,000	8,545,000	8,545,000
3570 Peer Assistance Prog Fees	148,385	250,000	250,000	250,000	250,000
3572 Health Rel Prof Fees-HB11, GR Incr	300	0	0	0	0
3722 Conf, Semin, & Train Regis Fees	319,845	320,000	320,000	320,000	320,000
3727 Fees - Administrative Services	31,425	0	0	0	0
3770 Administratve Penalties	1,000	0	0	0	0
3879 Credit Card and Related Fees	14,375	14,500	14,500	14,500	14,500
Subtotal: Actual/Estimated Revenue	9,038,870	9,129,500	9,129,500	9,129,500	9,129,500
Total Available	\$9,038,870	\$9,129,500	\$9,129,500	\$9,129,500	\$9,129,500
DEDUCTIONS:					
Expended/Budgeted	(3,923,159)	(4,008,484)	(4,206,549)	(4,100,712)	(4,114,321)
Transfer EE Benefits	(962,164)	(1,195,833)	(1,041,833)	(1,041,833)	(1,041,833)
Other Indirect (Hobby, Statewide)	(133,611)	(133,438)	(127,750)	(127,750)	(127,750)
Total, Deductions	\$(5,018,934)	\$(5,337,755)	\$(5,376,132)	\$(5,270,295)	\$(5,283,904)
Ending Fund/Account Balance	\$4,019,936	\$3,791,745	\$3,753,368	\$3,859,205	\$3,845,596

REVENUE ASSUMPTIONS:

Revenues in the General Revenue Fund are from collected fees and surcharges statutorily applied to all applications, renewals and temporary licenses of dentists, dental hygienists, dental assistants and lab facilities.

CONTACT PERSON:

Diane Fulmer

6.E. Estimated Revenue Collections Supporting Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

FUND/ACCOUNT	Act 2017	Exp 2018	Exp 2019	Bud 2020	Est 2021
666 Appropriated Receipts					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3719 Fees/Copies or Filing of Records	331,229	258,500	258,500	258,500	258,500
3752 Sale of Publications/Advertising	328	0	0	0	0
Subtotal: Actual/Estimated Revenue	331,557	258,500	258,500	258,500	258,500
Total Available	\$331,557	\$258,500	\$258,500	\$258,500	\$258,500
DEDUCTIONS:					
Expended/Budgeted	(331,557)	(258,500)	(258,500)	(258,500)	(258,500)
Total, Deductions	\$(331,557)	\$(258,500)	\$(258,500)	\$(258,500)	\$(258,500)
Ending Fund/Account Balance	\$0	\$0	\$0	\$0	\$0

REVENUE ASSUMPTIONS:

Appropriated receipts include collected fees primarily from online continuing education of the jurisprudence assessment, the sale of publications and copies for open records requests.

CONTACT PERSON:

Diane Fulmer

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/8/2018
Time: 8:04:37AM

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

1 Operational Cuts and FTEs

Category: Across the Board Reductions

Item Comment: • Administration – \$18,000

- Legal – \$12,720
- Investigations division – 2 FTEs - \$168,000 – Investigator IVs
 - o The board has been legislatively mandated to implement an Anesthesia Inspection Program that will more than double the workload of the agency's investigations division. With approximately 3500 current anesthesia permit holders set for inspection by 2022 along with inspection of all new anesthesia permit holders in the first year and the case work required for permit holders who do not pass inspection added to the current inspector case load, the loss of any FTEs, much less two FTEs will cause a critical slowdown in the work performed by investigations divisions that will create a backlog of cases, reduce the number of inspections the agency performs, increase the number of days to case resolution, and dramatically increase the workload of the remaining investigators.

Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$84,000	\$84,000	\$168,000	\$84,000	\$84,000	\$168,000
General Revenue Funds Total	\$0	\$0	\$0	\$84,000	\$84,000	\$168,000	\$84,000	\$84,000	\$168,000

Strategy: 2-1-1 Indirect Administration - Licensure and Registration

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$7,680	\$7,680	\$15,360	\$7,680	\$7,680	\$15,360
General Revenue Funds Total	\$0	\$0	\$0	\$7,680	\$7,680	\$15,360	\$7,680	\$7,680	\$15,360

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/8/2018
Time: 8:04:37AM

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
1 General Revenue Fund	\$0	\$0	\$0	\$7,680	\$7,680	\$15,360	\$7,680	\$7,680	\$15,360
General Revenue Funds Total	\$0	\$0	\$0	\$7,680	\$7,680	\$15,360	\$7,680	\$7,680	\$15,360
Item Total	\$0	\$0	\$0	\$99,360	\$99,360	\$198,720	\$99,360	\$99,360	\$198,720

Strategy: 2-1-2 Indirect Administration - Complaint Resolution

General Revenue Funds

FTE Reductions (From FY 2020 and FY 2021 Base Request)

2.0 2.0

2 Lic and Enf FTEs

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: • Investigations Division – 1 FTE - \$106,000 – Investigator V

o The elimination from the investigations division of one additional FTE, which would equal three FTEs in the reduction schedule, will have a devastating impact on the quality and quantity of inspections mandated by the legislature and the number of cases processed. The additional loss of FTEs will dramatically increase the remaining investigators' workloads, multiply the backlog of case work, and reduce the number of inspections the staff are able to perform.

• Licensing Division – 1 FTE - \$94,742 – Program Specialist

o The loss of one program specialist will significantly increase the processing time for new license and permit applications. By removing one employee, the extra work will be distributed to the other program specialists thereby increasing the processing turnaround time and causing each applicant to wait longer for issuance of a license or permit.

Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints

General Revenue Funds

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/8/2018
Time: 8:04:37AM

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
1 General Revenue Fund	\$0	\$0	\$0	\$53,000	\$53,000	\$106,000	\$53,000	\$53,000	\$106,000
General Revenue Funds Total	\$0	\$0	\$0	\$53,000	\$53,000	\$106,000	\$53,000	\$53,000	\$106,000

Strategy: 1-2-1 Conduct an Efficient Licensure/Registration/Certification Process

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$47,371	\$47,371	\$94,742	\$47,371	\$47,371	\$94,742
General Revenue Funds Total	\$0	\$0	\$0	\$47,371	\$47,371	\$94,742	\$47,371	\$47,371	\$94,742
Item Total	\$0	\$0	\$0	\$100,371	\$100,371	\$200,742	\$100,371	\$100,371	\$200,742

FTE Reductions (From FY 2020 and FY 2021 Base Request)

2.0 2.0

3 Admin Cuts and FTEs Enf

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: • Administration – \$32,052

o This reduction will cut into the heart of our budget and will reduce postage by \$10,300, office supplies by \$10,000, and travel expenses for our Anesthesia Inspection Program.

• Investigations division – 2 FTEs - \$168,000 – Investigator IVs

o As stated above, the board has been legislatively mandated to implement an Anesthesia Inspection Program that will more than double the workload of our investigations division. This reduction would bring the total loss of investigation staff to five FTEs. With this level of reduction, the board will be unable to meet it's mandate of inspecting approximately 3500 current anesthesia permit holders by 2022 and all new anesthesia permit holders within the first

Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/8/2018
Time: 8:04:37AM

Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$84,000	\$84,000	\$168,000	\$84,000	\$84,000	\$168,000
General Revenue Funds Total	\$0	\$0	\$0	\$84,000	\$84,000	\$168,000	\$84,000	\$84,000	\$168,000
Strategy: 1-2-1 Conduct an Efficient Licensure/Registration/Certification Process									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$5,878	\$5,878	\$11,756	\$5,878	\$5,878	\$11,756
General Revenue Funds Total	\$0	\$0	\$0	\$5,878	\$5,878	\$11,756	\$5,878	\$5,878	\$11,756
Strategy: 2-1-1 Indirect Administration - Licensure and Registration									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$5,075	\$5,075	\$10,150	\$5,075	\$5,075	\$10,150
General Revenue Funds Total	\$0	\$0	\$0	\$5,075	\$5,075	\$10,150	\$5,075	\$5,075	\$10,150
Strategy: 2-1-2 Indirect Administration - Complaint Resolution									

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

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Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$5,075	\$5,075	\$10,150	\$5,075	\$5,075	\$10,150
General Revenue Funds Total	\$0	\$0	\$0	\$5,075	\$5,075	\$10,150	\$5,075	\$5,075	\$10,150
Item Total	\$0	\$0	\$0	\$100,028	\$100,028	\$200,056	\$100,028	\$100,028	\$200,056
FTE Reductions (From FY 2020 and FY 2021 Base Request)					2.0	2.0			

4 Admin and Enf FTEs

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: • Investigations division – 1 FTE - \$84,000 – Investigator IV

o Because the board has been legislatively mandated to implement an Anesthesia Inspection Program, a further reduction in staff will more than double the workload for each member of our investigations division. This reduction would bring the total loss of investigation staff to six FTEs. With this level of reduction, the board will be unable to meet its mandate of inspecting approximately 3500 current anesthesia permit holders by 2022 and all new anesthesia permit holders within the first year.

• Administration Division – 1 FTE - \$72,000 – Administrative Assistant

o The administrative assistant is an invaluable part of our administrative team and fills a variety of functions, including serving as a receptionist, processing paperwork, creating subpoenas, and providing clerical support for the division. Since the administrative division has only one administrative assistant, those duties would be divided among the limited number of administrative assistants in the other divisions and increase their already taxing workload.

• Financial Division – 1 FTE - \$39,600 – Staff Services Officer II – in the second year of the budget

o The Staff Services Officers (SSO) are responsible for all of the agency's financial, purchasing, and HR functions. Each area has unique responsibilities and does not translate to the other positions. If we eliminate one position, the remaining SSOs will be given responsibilities outside their area of expertise. Additional training will be needed, and the training costs will have a negative impact on the budget.

Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

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Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$42,000	\$42,000	\$84,000	\$42,000	\$42,000	\$84,000
General Revenue Funds Total	\$0	\$0	\$0	\$42,000	\$42,000	\$84,000	\$42,000	\$42,000	\$84,000
Strategy: 2-1-1 Indirect Administration - Licensure and Registration									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$27,900	\$27,900	\$55,800	\$27,900	\$27,900	\$55,800
General Revenue Funds Total	\$0	\$0	\$0	\$27,900	\$27,900	\$55,800	\$27,900	\$27,900	\$55,800
Strategy: 2-1-2 Indirect Administration - Complaint Resolution									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$27,900	\$27,900	\$55,800	\$27,900	\$27,900	\$55,800
General Revenue Funds Total	\$0	\$0	\$0	\$27,900	\$27,900	\$55,800	\$27,900	\$27,900	\$55,800
Item Total	\$0	\$0	\$0	\$97,800	\$97,800	\$195,600	\$97,800	\$97,800	\$195,600
FTE Reductions (From FY 2020 and FY 2021 Base Request)					2.5	2.5			

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

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Agency code: **504** Agency name: **Texas State Board of Dental Examiners**

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET	
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total	
AGENCY TOTALS										
General Revenue Total				\$397,559	\$397,559	\$795,118	\$397,559	\$397,559	\$795,118	\$795,118
Agency Grand Total	\$0	\$0	\$0	\$397,559	\$397,559	\$795,118	\$397,559	\$397,559	\$795,118	\$795,118
Difference, Options Total Less Target										
Agency FTE Reductions (From FY 2020 and FY 2021 Base Request)				8.5	8.5					
Article Total				\$397,559	\$397,559	\$795,118	\$397,559	\$397,559	\$795,118	
Statewide Total				\$397,559	\$397,559	\$795,118	\$397,559	\$397,559	\$795,118	

6.K. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/8/2018
 TIME: 8:04:38AM

Agency code: 504

Agency name: Texas State Board of Dental Examiners

		Exp 2017	Bud 2018	Est 2019	Est 2020	Est 2021
Expanded or New Initiative:						
	1.SB 313 Anesthesia Permits					
Legal Authority for Item:						
Occup Code Title 3 Health Professions Subtitle D Dentistry Sec 258-1554						
Description/Key Assumptions (including start up/implementation costs and ongoing costs):						
Issuing Permits to Anesthesiologists. This will include salary costs for these new ftes, a one time expenditure for enhancement to the database to track inspections and a one time expenditure for database registrations.						
State Budget by Program:	Anesthesia Permits					
IT Component:	Yes					
Involve Contracts > \$50,000:	Yes					
Objects of Expense						
Strategy: 1-1-1 COMPLAINT RESOLUTION						
1001	SALARIES AND WAGES	\$0	\$0	\$81,668	\$185,000	\$185,000
1002	OTHER PERSONNEL COSTS	\$0	\$0	\$2,775	\$2,775	\$2,775
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$0	\$138,500	\$0	\$0
2005	TRAVEL	\$0	\$0	\$0	\$35,168	\$35,168
	SUBTOTAL, Strategy 1-1-1	\$0	\$0	\$222,943	\$222,943	\$222,943
	TOTAL, Objects of Expense	\$0	\$0	\$222,943	\$222,943	\$222,943
Method of Financing						
GENERAL REVENUE FUNDS						
Strategy: 1-1-1 COMPLAINT RESOLUTION						
1	General Revenue Fund	\$0	\$0	\$222,943	\$222,943	\$222,943
	SUBTOTAL, Strategy 1-1-1	\$0	\$0	\$222,943	\$222,943	\$222,943
	SUBTOTAL, GENERAL REVENUE FUNDS	\$0	\$0	\$222,943	\$222,943	\$222,943
	TOTAL, Method of Financing	\$0	\$0	\$222,943	\$222,943	\$222,943
FULL-TIME-EQUIVALENT POSITIONS (FTE)						
Strategy: 1-1-1 COMPLAINT RESOLUTION		0.0	0.0	2.0	4.0	4.0
	TOTAL FTES	0.0	0.0	2.0	4.0	4.0

Description of IT Component Included in New or Expanded Initiative:
 database enhancement

6.K. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule
 86th Regular Session, Agency Submission, Version 1
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Agency code: 504

Agency name: Texas State Board of Dental Examiners

Exp 2017 Bud 2018 Est 2019 Est 2020 Est 2021

Is this IT component a New or Current Project? New

FTEs related to IT Component?

Exp 2017	Bud 2018	Est 2019	Est 2020	Est 2021
0.0	0.0	0.0	0.0	0.0

Proposed Software:

enhancement to existing database for permit inspections and registrations

Proposed Hardware:

none

Development Cost and Other Costs:

enhancement to existing database for permit inspections and registrations

Type of Project:

Data Management / Data Warehousing

Estimated IT Cost:

Exp 2017	Bud 2018	Est 2019	Est 2020	Est 2021	Total Over Life of Project
\$0	\$0	\$138,500	\$0	\$0	\$138,500.00

Contract Description:

All to be done in 2019 for database enhancement \$138,500

Approximate Percentage of Expanded or New Initiative Contracted in FYs 2018-19: 100.0%

6.K. Part B Summary of Costs Related to Recently Enacted State Legislation
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/8/2018
 TIME: 8:04:38AM

Agency code: 504

Agency name: Texas State Board of Dental Examiners

ITEM EXPANDED OR NEW INITIATIVE	Exp 2017	Bud 2018	Est 2019	Est 2020	Est 2021
1 SB 313 Anesthesia Permits	\$0	\$0	\$222,943	\$222,943	\$222,943
Total, Cost Related to Expanded or New Initiatives	\$0	\$0	\$222,943	\$222,943	\$222,943
METHOD OF FINANCING					
GENERAL REVENUE FUNDS	\$0	\$0	\$222,943	\$222,943	\$222,943
Total, Method of Financing	\$0	\$0	\$222,943	\$222,943	\$222,943
FULL-TIME-EQUIVALENTS (FTES):	0.0	0.0	2.0	4.0	4.0



CERTIFICATE

Agency Name: Texas State Board of Dental Examiners

This is to certify that the information contained in the agency Legislative Appropriations Request filed with the Legislative Budget Board (LBB) and the Governor's Office Budget Division (Governor's Office) is accurate to the best of my knowledge and that the electronic submission to the LBB via Automatic Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that the unexpended balances will accrue for any account, the LBB and the Governor's Office will be notified in writing in accordance with Article IX, Section 7.01 (2018-2019 GAA).

Executive Director

W. Boyd Bush, Jr.
Signature

W. Boyd Bush, Jr.

Printed Name

Executive Director

Title

July 26, 2018

Date

Presiding Officer

Dr. David Tillman
Signature

Dr. David Tillman

Printed Name

Presiding Officer

Title

July 26, 2018

Date

Chief Financial Officer

Leticia Kappel
Signature

Leticia Kappel

Printed Name

Director of Finance & Administration

Title

July 26, 2018

Date



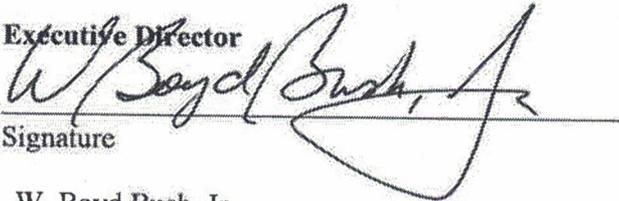
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Printed Name

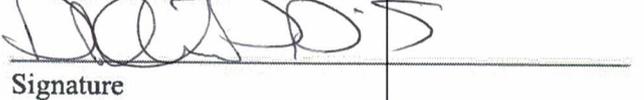
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Dr. David Tillman

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July 26, 2018

Date